

DECLARATION – Criminal convictions and other investigations

Please state whether any of the circumstances listed below apply to you.

- 1. Do you have any criminal convictions in the United Kingdom. No Yes
- 2. Have you been bound over following a criminal conviction in the United Kingdom. No Yes
- 3. Have you accepted a police caution in the United Kingdom? *(see note 1 opposite)* No Yes
- 4. Have you accepted and agreed to pay a penalty under section 115A of the Social Security Administration Act 1992? No Yes
- 5. Have you been convicted elsewhere of an offence, or what would constitute a criminal offence if committed in England and Wales? No Yes
- 6. Have you been charged in the United Kingdom, or elsewhere with an offence which would constitute a criminal offence if committed in England and Wales? No Yes
- 7. Have you been subject to any investigation into your professional conduct by any licensing, regulatory or other body where the outcome was adverse? No Yes
- 8. Are you currently subject to any investigation into your professional conduct by any licensing, regulatory or other body No Yes
- 9. To your knowledge, are you or have you been, where the outcome was adverse, the subject of any investigation by the National Health Service Counter Fraud and Security Management in relation to a fraud case? No Yes
- 10. Are you the subject of any investigation by another PCT or equivalent body, which might lead to your removal from any PCT's lists or equivalent lists? No Yes
- 11. Are you or have you been, where the outcome was adverse, the subject to an investigation into your professional conduct in respect of any current or previous employment? No Yes
- 12. Have you been removed, contingently removed or suspended from, refused admission to or conditionally included in any list or equivalent list kept by another PCT or equivalent body or are currently suspended from such a list? No Yes

Note 1
Matters dealt with by a fixed penalty need not be declared.

Please note that the Rehabilitation of Offenders Act 1974 does not apply to general practitioners for the purpose of this declaration. Offences considered "spent" under that Act must be declared.

If you have answered 'Yes' to any of the preceding questions please provide full details, including approximate dates and where any investigations or proceedings were or are to be brought, the nature of that investigation or those proceedings and any outcome.

Are you, or were you to your knowledge at the time of the originating events, a director or superintendent of a body corporate which:

DECLARATION (Cont'd)– Criminal convictions and other investigations in relation to a body corporate

- 1. has any criminal convictions in the United Kingdom; or No Yes
- 2. has been convicted elsewhere of an offence, or what would constitute a criminal offence if committed in England and Wales, No Yes
- 3. has been charged in the United Kingdom with a criminal offence, or has been charged elsewhere with an offence which would constitute a criminal offence if committed in England and Wales; No Yes
- 4. has been the subject to any investigation into its provision of professional services by any licensing, regulatory or other body where the outcome was adverse; or No Yes
- 5. is currently the subject to any investigation into its provision of professional services by any licensing, regulatory or other body ; or No Yes
- 6. is to his knowledge, or has been where the outcome was adverse, the subject of any investigation in relation to fraud; or No Yes
- 7. is the subject of any investigation by another PCT or equivalent body, which might lead to its removal from any of that PCT's lists; or No Yes
- 8. has been removed, contingently removed or suspended from, refused admission to or conditionally included in any list or equivalent list No Yes

If you have answered 'Yes' to any of the preceding questions please provide the name and registered address of the body corporate and details, including approximate dates of where any investigations or proceedings were or are to be brought the nature of that investigation, and any outcome.

If you
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I undertake:

- To notify the PCT if I am included, or apply to be included in any other lists held by any PCTs or equivalent bodies (*see note 2 opposite*);
- To notify the PCT within 7 days of any of the above listed events taking place
- To consent to a request being made by the Trust to any employer, licensing, regulatory or other body in the United Kingdom or elsewhere for information relating to a current investigation or an investigation, where the outcome was adverse, regarding myself or any body corporate of which I am or was a director (*see note 3 opposite*);
- To supply the PCT with an enhanced criminal record certificate under Section 114 of the Police Act 1997 in relation to himself, if it at any time, for reasonable cause, it requests him to provide such a certificate following inclusion in a Performers List.

Note 2:

This means any list of doctors, dentists, pharmacists or optometrists maintained by a PCT in England or Wales, Health Board in Scotland or Health & Social Services Board in Northern Ireland.

Note 3:

This allows the PCT to check whether you (or a body corporate of which you are a director) are currently the subject of any investigation or have previously been the subject of any investigation found against you. See regulation 9 (3) for full text.

Signed: _____

Full Name: _____

Designation: _____

Pharmacy/Company Details: _____

Date: _____

